

Natixis SA Financial Security Program Statement

Natixis SA is a French corporate and investment bank headquartered in Paris, France.

In its capacity as a credit institution, Natixis SA is bound by, and complies with, French, European and International legislative and regulatory requirements related to the fight against money laundering and terrorism financing.

Anti-Money Laundering, Counter-Terrorist Financing, Fight Against Fraud, Anti-Bribery and Corruption as well as Compliance with International Sanctions Measures are therefore key elements of Natixis' Compliance program.


The Natixis' Financial Security Program, with regards to anti-money laundering, counter-terrorist financing, compliance with Sanctions, anti-bribery and corruption, is composed, inter alia, of the following elements:

- An appropriate program, considering Natixis' structure and activities and in accordance with French, European and International standards;
- In-depth and up-to-date knowledge of business relationships;
- A risk-based approach that allows a classification of customers according to the identified risks;
- Reporting of doubts and suspicions internally to the department authorized to report suspicious activities to the relevant authorities;
- Customer database screening and transactions filtering (prior to execution) to ensure compliance with applicable laws;
- The AML-CTF program's permanent and periodic controls;
- Archiving and audit trail;
- A program for training and providing information to employees.

Natixis SA is supervised and controlled by the French Prudential Control and Resolution Authority ("ACPR").

Natixis Wolfsberg Groupe Financial Crime Compliance Questionnaire is published at the following address:
https://www.natixis.com/natixis/upload/docs/application/pdf/2019-02/fccq_wolfsberg_natixissa.pdf

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